

10/530784

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

Docket No. B-5682PCT 622598-3

International Application No.:  
PCT/AU2003/001331Applicant(s) for DO/EO/US:  
(1) Lawrence David McCARTHY  
(2) Gerhard Fredrick SWIEGERSInternational Filing Date:  
9 October 2003Title of Invention:  
"SECURITY DEVICE"

EV301025333US

Priority Date Claimed:  
9 October 2002U.S. Application No.  
(if known, see 37 CFR 1.5)  
NOT YET ASSIGNED

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. Has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet with check for \$40.00 in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT rule 13ter.2 and 37CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: copy of published PCT application (60 pages); unsigned Declaration/Power of Attorney (4 pages); Claim to Priority (1 page); copy of PCT Request (5 pages)

[X] a) Basic national fee.....	\$ 300.00 = \$ <u>300.00</u>
[X] b) Examination fee.....	\$ 200.00 = \$ <u>200.00</u>
[X] c) Search fee.....	\$ 500.00 = \$ <u>500.00</u>

[ ] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is **\$250** for each additional 50 sheets of paper or fraction thereof.

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$ \_\_\_\_\_

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$\_\_\_\_\_

☐ Amendment deleting multiple dependencies enclosed

Processing fee of **\$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ \_\_\_\_\_

Fee for recording the enclosed assignment (37 CFR 1.21(h)).  
The assignment must be accompanied by an appropriate cover  
sheet (37 CFR 3.28, 3.31). **\$40.00** per property + \$\_\_\_\_\_

Amount to be refunded: \$ \_\_\_\_\_  
charged: \$ \_\_\_\_\_

[ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

[X] The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0415. A duplicate copy of this sheet is enclosed.

[ ] Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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Robert Popa  
Robert Popa  
Reg. No. 43,010

**BEST AVAILABLE COPY**

IN THE UNITED STATES DESIGNATED OFFICE DO/EO/US  
REGARDING THE INTERNATIONAL APPLICATION OF:  
(1) Lawrence David McCARTHY (2) Gerhard Frederick SWIEGERS

ENTITLED: "SECURITY DEVICE"

INTERNATIONAL APPLN. NO. PCT/AU2003/001331

Our Ref: B-5682PCT 622598-3

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: United States Designated/Elected Office (DO/EO/US)

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE  
"Express Mail" label number EV301025333US

Date of Deposit: April 8, 2005

I hereby certify that the following attached papers or fee

- postcard
- check no. 20266 for \$4100.00
- Transmittal Letter (2 pages)
- copy of PCT International Application as published consisting of:
  - Title page with Abstract (2 pages)
  - Specification (31 pages)
  - Claims (13 pages)
  - 9 sheets of Drawings (Figs. 1-17)
  - International Search Report (5 pages)
- Unsigned Declaration/Power of Attorney (4 pages)
- Claim to Priority (1 page)
- copy of PCT Request (5 pages)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above in an envelope addressed to

"Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450"

Shana Morda  
(Typed or printed name of person mailing paper or fee)

